

# Algorithm for the Cost Edge-Coloring of Trees

Xiao Zhou\* and Takao Nishizeki\*\*

Graduate School of Information Sciences, Tohoku University  
Aoba-yama 05, Sendai, 980-8579, Japan.

**Abstract.** Let  $C$  be a set of colors, and let  $\omega$  be a cost function which assigns a real number  $\omega(c)$  to each color  $c$  in  $C$ . An edge-coloring of a graph  $G$  is to color all the edges of  $G$  so that any two adjacent edges are colored with different colors. In this paper we give an efficient algorithm to find an optimal edge-coloring of a given tree  $T$ , that is, an edge-coloring  $f$  of  $T$  such that the sum of costs  $\omega(f(e))$  of colors  $f(e)$  assigned to all edges  $e$  is minimum among all edge-colorings of  $T$ . The algorithm takes time  $O(n\Delta^2)$  if  $n$  is the number of vertices and  $\Delta$  is the maximum degree of  $T$ .

**Keywords:** cost edge-coloring, tree, bipartite graph, matching

## 1 Introduction

A *vertex-coloring* of a graph  $G = (V, E)$  is to color all the vertices of  $G$  so that any two adjacent vertices are colored with different colors. We denote by  $n$  the number of vertices in  $G$ . Let  $C = \{c_1, c_2, \dots, c_m\}$  be a set of colors, and assume that  $m = |C|$  is sufficiently large, say  $m = n$ . Let  $\omega : C \rightarrow \mathbf{R}$  be a cost function which assigns a real number  $\omega(c) \in \mathbf{R}$  to each color  $c \in C$ . The *cost vertex-coloring problem* is to find a vertex-coloring  $f : V \rightarrow C$  of  $G$  such that  $\sum_{v \in V} \omega(f(v))$  is as small as possible. One can observe that the cost vertex-coloring problem is NP-hard since the ordinary vertex-coloring problem is NP-hard. The cost vertex-coloring problem remains NP-hard for interval graphs, but the problem can be solved in linear time for trees [10]. The “vertex-chromatic sum problem” in [11, 12] is merely the cost vertex-coloring problem for a special case where  $\omega(c_i) = i$  for each index  $i \geq 1$ . Jansen gives a polynomial-time algorithm to solve the vertex-chromatic sum problem for graphs of bounded treewidth [6].

In this paper we deal with the cost edge-coloring problem. An *edge-coloring*  $f : E \rightarrow C$  of a graph  $G = (V, E)$  is to color all the edges of  $G$  so that any two adjacent edges are

---

\* E-Mail: zhou@ecei.tohoku.ac.jp

\*\* E-Mail: nishi@ecei.tohoku.ac.jp

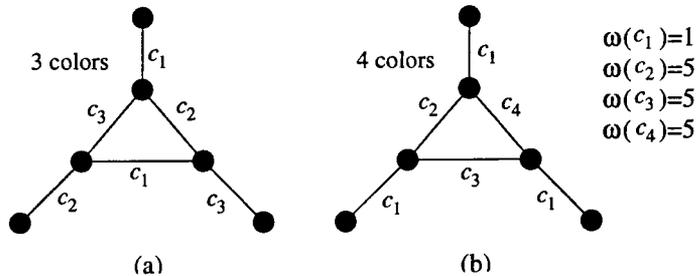


Fig. 1. (a) Edge-coloring with  $\chi'(G)$  colors and (b) optimal edge-coloring with  $\chi'(G) + 1$  colors.

colored with different colors. The minimum number of colors required for edge-colorings of  $G$  is called the *chromatic index*, and is denoted by  $\chi'(G)$ . An ordinary edge-coloring problem is to compute the chromatic index  $\chi'(G)$  of a given graph  $G$  and find an edge-coloring of  $G$  with  $\chi'(G)$  colors. On the other hand, the *cost edge-coloring problem* is to find an *optimal edge-coloring* of  $G$ , that is, an edge-coloring  $f$  such that  $\sum_{e \in E} \omega(f(e))$  is minimum among all edge-colorings of  $G$ . An optimal edge-coloring does not always use the minimum number  $\chi'(G)$  of colors. For example, suppose that  $\omega(c_1) = 1$  and  $\omega(c_i) = 5$  for each index  $i \geq 2$ , then the graph  $G$  with  $\chi'(G) = 3$  in Figure 1(a) can be uniquely colored with the three cheapest colors  $c_1, c_2$  and  $c_3$  as in Figure 1(a), but the edge-coloring is not optimal; an optimal edge-coloring of  $G$  uses the four cheapest colors  $c_1, c_2, c_3$  and  $c_4$  as depicted in Figure 1(b). However, it is known that any simple graph  $G$  has an optimal edge-coloring using  $\Delta(G)$  or  $\Delta(G) + 1$  colors, and any bipartite graph  $G$  and hence any tree has an optimal edge-coloring using  $\Delta(G)$  ( $= \chi'(G)$ ) colors, where  $\Delta(G)$  is the maximum degree of  $G$  [5]. The *edge-chromatic sum problem* introduced by Giaro and Kubale [4] is merely the cost edge-coloring problem for a special case where  $\omega(c_i) = i$  for each index  $i \geq 1$ . The cost edge-coloring problem has a natural application in scheduling theory. Consider the scheduling of biprocessor tasks of unit execution time on dedicated machines. An example of such tasks is the file transfer problem in a computer network in which each file engages two corresponding nodes, sender and receiver, simultaneously [3]. Another example is the biprocessor diagnostic problem in which links execute concurrently the same test for a fault tolerant multiprocessor system [7]. These problems can be modeled by a graph  $G$  in which machines correspond to the vertices and tasks correspond to the edges. An edge-coloring of  $G$  corresponds to a schedule, where the edges colored with color  $c_i \in C$  represent the collection of tasks that are executed in

the  $i$ th time slot. For each  $i$ , if a task is executed in the  $i$ th time slot, then it takes the cost  $\omega(c_i)$ . The goal is to find a schedule that minimizes the total cost. This corresponds to the cost edge-coloring problem.

One can observe that the cost edge-coloring problem is NP-hard since the ordinary edge-coloring problem is NP-hard. Furthermore, the cost edge-coloring problem remains NP-hard for bipartite graph, because the edge-chromatic sum problem is NP-hard for bipartite graphs [4]. When restricted to trees, many NP-hard problems can be efficiently solved in polynomial time [1, 2, 14, 15]. Giaro and Kubale recently give an algorithm to solve the edge-chromatic sum problem for trees  $T$  in time  $O(n\Delta^{3.5} \log n)$ , where  $n$  is the number of vertices and  $\Delta$  is the maximum degree of  $T$  [4].

In this paper we give an algorithm to solve the cost edge-coloring problem for trees  $T$  in time  $O(n\Delta^2)$ . The algorithm hence runs in linear time if  $\Delta$  is a fixed constant. The algorithm takes time  $O(n\Delta^{1.5} \log(nN_\omega))$  if all the color costs  $\omega(c)$  are integers in the range  $[-N_\omega, N_\omega]$ . The edge-chromatic sum problem is a special case of the cost edge-coloring problem, in which  $N_\omega = \Delta$  for trees. Our algorithm can therefore solve the edge-chromatic sum problem for trees in time  $O(n\Delta^{1.5} \log n)$ . The time-complexity  $O(n\Delta^{1.5} \log n)$  is better than the time-complexity  $O(n\Delta^{3.5} \log n)$  of Giaro and Kubale's algorithm [4]. An early version of the paper was presented at [16].

## 2 Preliminaries

In this section we define some terms and present easy observations. Let  $T = (V, E)$  denote a tree with vertex set  $V$  and edge set  $E$ . We will use notions such as *root*, *child*, *descendant* and *leaf* in their usual meaning. We denote by  $n$  the number of vertices in  $T$ .  $T$  is a “free tree,” but we choose for the sake of convenience an arbitrary vertex as a root  $r$ , and regard  $T$  as a “rooted tree.” The *degree*  $\text{deg}(v)$  of vertex  $v$  is the number of edges incident to  $v$ . We denote the maximum degree of  $T$  by  $\Delta(T)$  or simply by  $\Delta$ . We denote by  $d(v)$  the number of children of  $v$  in  $T$ . Then  $d(v) = \text{deg}(v)$  if  $v = r$ , and  $d(v) = \text{deg}(v) - 1$  otherwise. An edge joining vertices  $u$  and  $v$  is denoted by  $(u, v)$ . For a vertex  $v$  of  $T$ , the subtree of  $T$  induced by  $v$  and all descendants of  $v$  is called *the subtree of  $T$  rooted at  $v$* , and is denoted by  $T_v$ . Clearly,  $T = T_r$  for the root  $r$ .

We assume for the notational sake of convenience that the color set  $C$  contains  $n$  colors, and write  $C = \{c_1, c_2, \dots, c_n\}$ . An *edge-coloring*  $f : E \rightarrow C$  of a tree  $T$  is to color all edges of  $T$  by colors in  $C$  so that any two adjacent edges are colored with different colors. Let  $\omega : C \rightarrow \mathbf{R}$ , where  $\mathbf{R}$  is the set of real numbers. One may assume with loss of generality that  $\omega$  is non-decreasing, that is,  $\omega(c_i) \leq \omega(c_{i+1})$  for any index  $i$ ,  $1 \leq i < n$ . The *cost*  $\omega(f)$  of an *edge-coloring*  $f$  of a tree  $T = (V, E)$  is defined as follows:

$$\omega(f) = \sum_{e \in E} \omega(f(e)).$$

An edge-coloring  $f$  of  $T$  is called an *optimal* one if  $\omega(f)$  is minimum among all edge-colorings of  $T$ . The *cost edge-coloring problem* is to find an optimal edge-coloring of a given tree  $T$ . The cost of an optimal edge-coloring of tree  $T$  is called the *minimum cost of tree*  $T$ , and is denoted by  $\omega(T)$ .

Let  $f$  be an edge-coloring of  $T$ . For each vertex  $v$  of  $T$ , let  $C(f, v)$  be the set of all colors that are assigned to edges incident to  $v$ , that is,

$$C(f, v) = \{f(e) \mid e \text{ is an edge incident to } v \text{ in } T\}.$$

We say that a color  $c \in C$  is *missing at*  $v$  if  $c \notin C(f, v)$ . Let  $\text{Miss}(f, v)$  be the set of all colors missing at  $v$ , that is,

$$\text{Miss}(f, v) = C - C(f, v).$$

Interchanging colors in an alternating path is one of the standard techniques of an ordinary edge-coloring, which we also use in the paper. Let  $f$  be an edge-coloring of a tree  $T = (V, E)$ , let  $c_i$  and  $c_j$  be any two colors in  $C$ , and let  $T(c_i, c_j)$  be the subgraph of  $T$  induced by all the edges colored with  $c_i$  and  $c_j$ . Since  $T$  is a tree, each connected component of  $T(c_i, c_j)$  is a path of length one or more, whose edges are colored alternately with  $c_i$  and  $c_j$ . We call such a path a  *$c_i c_j$ -alternating path*. A vertex  $v \in V$  is an end of a  $c_i c_j$ -alternating path if and only if exactly one of  $c_i$  and  $c_j$  is missing at  $v$ . If  $v$  is an end of a  $c_i c_j$ -alternating path, then the path is denoted by  $P(v; c_i, c_j)$ . The set of all edges in  $P(v; c_i, c_j)$  is sometimes simply denoted by  $P(v; c_i, c_j)$ . Interchanging colors  $c_i$  and  $c_j$  in  $P(v; c_i, c_j)$ , one can obtain another edge-coloring  $f'$  of  $T$ . We then have the following lemma on  $f'$ .

**Lemma 1.** *If  $c_i \in \text{Miss}(f, v)$ ,  $c_j \in C(f, v)$ , and  $i < j$ , then  $\omega(f') \leq \omega(f)$ ,  $c_i \in C(f', v)$ ,  $c_j \in \text{Miss}(f', v)$ , and  $C(f', v) \cup \{c_j\} = C(f, v) \cup \{c_i\}$ .*

*Proof.* The colors of all edges assigned by  $f$  are the same as those by  $f'$  except for the edges in  $P(v; c_i, c_j)$ . The first edge in  $P(v; c_i, c_j)$  is colored with  $c_j$  by  $f$ , but it is colored with  $c_i$  by  $f'$ . We therefore have  $c_i \in C(f', v)$ ,  $c_j \in \text{Miss}(f', v)$ , and  $C(f', v) \cup \{c_j\} = C(f, v) \cup \{c_i\}$ . Since  $\omega(c_i) \leq \omega(c_j)$  and  $P(v; c_i, c_j)$  starts with an edge colored with  $c_j$ , we have

$$\sum_{e \in P(v; c_i, c_j)} \omega(f'(e)) \leq \sum_{e \in P(v; c_i, c_j)} \omega(f(e)).$$

We thus have  $\omega(f') \leq \omega(f)$ .

*Q.E.D.*

### 3 Algorithm

In this section we prove the following theorem.

**Theorem 1.** *An optimal edge-coloring of a tree  $T$  can be found in time  $O(n\Delta^2)$  where  $n$  is the number of vertices and  $\Delta$  is the maximum degree of  $T$ .*

In the remainder of this section we prove Theorem 1. In Section 3.1 we give an algorithm to compute the minimum cost  $\omega(T)$  of a given tree  $T$ , and in Section 3.2 we give an algorithm to find an optimal edge-coloring of  $T$ .

#### 3.1 Computing the minimum cost $\omega(T)$

A “dynamic programming method” is a standard one to solve a combinatorial problem on trees. We also use it, and compute the minimum cost  $\omega(T)$  of a given tree  $T$  by the “bottom-up tree computation.” Let  $v$  be an internal vertex of  $T$ , and let  $v_1, v_2, \dots, v_{d(v)}$  be the children of  $v$  in  $T$ . (See Figure 2.) Then one can observe that the minimum cost  $\omega(T_v)$  of the subtree  $T_v$  rooted at  $v$  cannot be computed directly from the minimum costs  $\omega(T_{v_j})$  of all the subtrees  $T_{v_j}$ ,  $1 \leq j \leq d(v)$ .

Our first idea is to introduce a new parameter  $\omega(T_v, i)$  defined for each vertex  $v$  of  $T$  and each color  $c_i \in C$  as follows:

$$\omega(T_v, i) = \min\{\omega(f) \mid f \text{ is an edge-coloring of } T_v \text{ and } c_i \in \text{Miss}(f, v)\}.$$

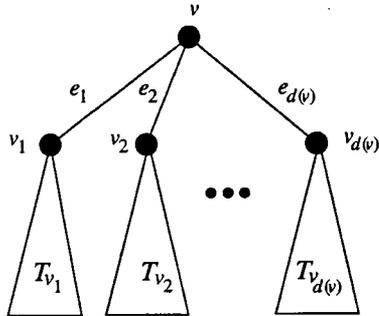


Fig. 2. Subtree  $T_v$  rooted at  $v$ .

Since  $T$  has exactly  $n - 1$  edges and  $|C| = n$ ,  $T_v$  has an edge-coloring  $f$  in which  $c_i$  is not used by  $f$  and hence  $c_i \in \text{Miss}(f, v)$ . Thus  $\omega(T_v, i)$  is well-defined. Clearly,

$$\omega(T_v) = \min_{1 \leq i \leq n} \omega(T_v, i).$$

We compute the values  $\omega(T_v, i)$  for all indices  $i$ ,  $1 \leq i \leq n$ , from leaves to root  $r$ . Thus the DP table for each vertex  $v$  consists of the  $n$  values  $\omega(T_v, i)$ ,  $1 \leq i \leq n$ , and has a size  $n$ .

The second idea is to reduce the size from  $n$  to  $d(v) + 1$ . We first have the following two lemmas on the properties of  $\omega(T_v, i)$ .

**Lemma 2.** *For any vertex  $v$  of tree  $T$ ,  $\omega(T_v, i)$  is non-increasing with  $i$ , that is,  $\omega(T_v, i) \geq \omega(T_v, i + 1)$  for each index  $i$ ,  $1 \leq i \leq n - 1$ .*

*Proof.* Let  $f$  be an edge-coloring of  $T_v$  such that  $c_i \in \text{Miss}(f, v)$  and  $\omega(f) = \omega(T_v, i)$ . If  $c_{i+1} \in \text{Miss}(f, v)$ , then the definition of  $\omega(T_v, i + 1)$  implies that  $\omega(T_v, i) = \omega(f) \geq \omega(T_v, i + 1)$ . One may thus assume that  $c_{i+1} \in C(f, v)$ . Then, interchanging colors  $c_i$  and  $c_{i+1}$  in  $P(v; c_i, c_{i+1})$ , we obtain another edge-coloring  $f'$  of  $T$ . By Lemma 1  $\omega(f) \geq \omega(f')$  and  $c_{i+1} \in \text{Miss}(f', v)$ . Therefore by the definition we have

$$\omega(T_v, i) = \omega(f) \geq \omega(f') \geq \omega(T_v, i + 1).$$

*Q.E.D.*

**Lemma 3.** *For any vertex  $v$  of tree  $T$ ,*

$$\omega(T_v, d(v) + 1) = \omega(T_v, d(v) + 2) = \dots = \omega(T_v, n).$$

*Proof.* Let  $i$  be any index such that  $d(v) + 2 \leq i \leq n$ . Then by Lemma 2  $\omega(T_v, d(v) + 1) \geq \omega(T_v, i)$ . It therefore suffices to prove that  $\omega(T_v, d(v) + 1) \leq \omega(T_v, i)$ .

Let  $f$  be any edge-coloring of  $T_v$  such that  $c_i \in \text{Miss}(f, v)$  and  $\omega(f) = \omega(T_v, i)$ . Since  $|C(f, v)| = d(v)$ , at least one of the  $d(v) + 1$  colors  $c_1, c_2, \dots, c_{d(v)+1}$  is missing at  $v$ . Let  $c_j$  be any of them, then  $1 \leq j \leq d(v) + 1$  and  $c_j \in \text{Miss}(f, v)$ . By the definition of  $\omega(T_v, j)$  we have

$$\omega(T_v, j) \leq \omega(f) = \omega(T_v, i). \quad (1)$$

Since  $j \leq d(v) + 1$ , by Lemma 2

$$\omega(T_v, d(v) + 1) \leq \omega(T_v, j). \quad (2)$$

Thus by Eqs. (1) and (2) we have  $\omega(T_v, d(v) + 1) \leq \omega(T_v, i)$ . *Q.E.D.*

From Lemmas 2 and 3 we immediately have the following lemma.

**Lemma 4.** *For any vertex  $v$ ,  $\omega(T_v) = \omega(T_v, d(v) + 1)$ . In particular,  $\omega(T) = \omega(T_r, d(r) + 1)$ .*

Thus our DP table for each vertex  $v$  consists of only the  $d(v) + 1$  values  $\omega(T_v, i)$ ,  $1 \leq i \leq d(v) + 1$ , and hence the size is  $d(v) + 1$ . All the values  $\omega(T_v, i)$  for  $i$ ,  $d(v) + 2 \leq i \leq n$ , are equal to  $\omega(T_v, d(v) + 1)$ .

For each leaf  $v$  of  $T$ , the DP table for  $v$  consists of a single value  $\omega(T_v, 1) = 0$ . Note that  $d(v) = 0$  and  $T_v$  has no edge.

Thus it suffices to show how to construct the DP table for an internal vertex  $v$  from the DP tables for all the children  $v_1, v_2, \dots, v_{d(v)}$  of  $v$ . (See Figure 2.) We first notice that, for each  $i$ ,  $1 \leq i \leq d(v) + 1$ ,  $T_v$  has an edge-coloring  $f$  such that  $\omega(f) = \omega(T_v, i)$ ,  $c_i \in \text{Miss}(f, v)$ , and  $C(f, v)$  consists of the first  $d(v)$  colors in  $C$  other than  $c_i$ , as in the following lemma.

**Lemma 5.** *For any vertex  $v$  of  $T$  and any index  $i$ ,  $1 \leq i \leq d(v) + 1$ ,  $T_v$  has an edge-coloring  $f$  such that  $\omega(f) = \omega(T_v, i)$ ,  $c_i \in \text{Miss}(f, v)$ , and  $C(f, v) = D_i$ , where  $D_i = \{c_k \mid 1 \leq k \leq d(v) + 1, k \neq i\}$ .*

*Proof.* Let  $f$  be any edge-coloring of  $T_v$  such that

- (i)  $\omega(f) = \omega(T_v, i)$ ; and
- (ii)  $c_i \in \text{Miss}(f, v)$ .

Let

$$\rho(f) = |C(f, v) \cap D_i|. \quad (3)$$

Then clearly,  $|C(f, v)| = |D_i| = d(v)$  and  $\rho(f) \leq d(v)$ . It suffices to prove that, among the edge-colorings of  $T_v$  satisfying (i) and (ii), there is an edge-coloring  $f$  such that  $\rho(f) = d(v)$ .

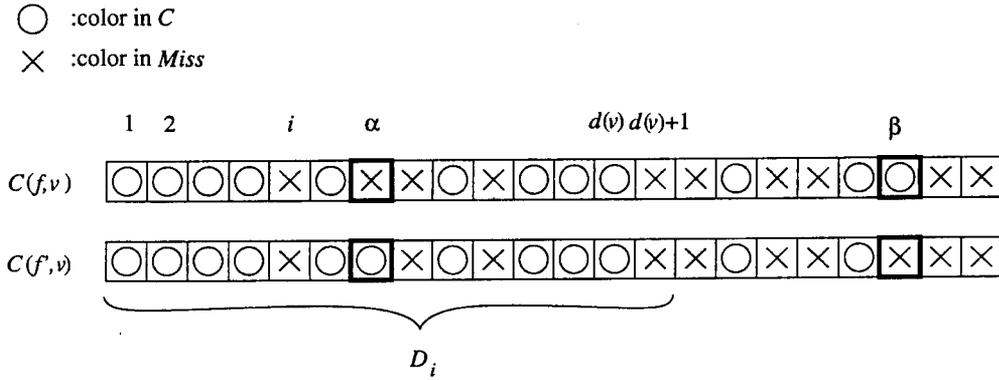


Fig. 3.  $C(f, v)$  and  $C(f', v)$ .

Assume for a contradiction that  $f$  is an edge-coloring of  $T_v$  having the maximum  $\rho(f)$  among all edge-colorings satisfying (i) and (ii), but  $\rho(f) < d(v)$ . We then show that there is another edge-coloring  $f'$  of  $T_v$  such that  $f'$  satisfies (i) and (ii), but  $\rho(f') = \rho(f) + 1$ , contrary to the assumption.

We first construct  $f'$ . Since  $|C(f, v)| = |D_i| = d(v)$  and  $\rho(f) < d(v)$ , we have  $D_i - C(f, v) \neq \emptyset$  and  $C(f, v) - D_i \neq \emptyset$ . Let  $c_\alpha$  be any color in  $D_i - C(f, v)$ , and let  $c_\beta$  be any color in  $C(f, v) - D_i$ . Since  $c_\alpha \in \text{Miss}(f, v)$  and  $c_\beta \in C(f, v)$ , interchanging colors  $c_\alpha$  and  $c_\beta$  in  $P(v; c_\alpha, c_\beta)$ , we obtain another edge-coloring  $f'$  of  $T_v$ . (See Figure 3.)

We then show that  $f'$  satisfies (i) and (ii). Since  $\alpha, \beta \neq i$ ,  $c_\alpha \in D_i$  and  $c_\beta \notin D_i$ , we have  $\alpha < \beta$  and hence by Lemma 1  $\omega(f') \leq \omega(f)$ . Therefore by (i) we have

$$\omega(f') \leq \omega(f) = \omega(T_v, i). \quad (4)$$

Since  $c_i \in \text{Miss}(f, v)$  and  $i \neq \alpha, \beta$ , we have  $c_i \in \text{Miss}(f', v)$ . Therefore the definition of  $\omega(T_v, i)$  implies

$$\omega(T_v, i) \leq \omega(f'). \quad (5)$$

By Eqs. (4) and (5) we have  $\omega(f') = \omega(T_v, i)$ . Thus  $f'$  satisfies (i) and (ii).

We finally show that  $\rho(f') = \rho(f) + 1$ . Since  $c_\alpha \in D_i$ ,  $c_\beta \notin D_i$ , and  $C(f', v) \cup \{c_\beta\} = C(f, v) \cup \{c_\alpha\}$  by Lemma 1, we have

$$\begin{aligned} C(f', v) \cap D_i &= (C(f', v) \cup \{c_\beta\}) \cap D_i \\ &= (C(f, v) \cup \{c_\alpha\}) \cap D_i \\ &= (C(f, v) \cap D_i) \cup \{c_\alpha\}. \end{aligned} \quad (6)$$

Since  $c_\alpha \notin C(f, v)$ , by Eq. (6) we have

$$\begin{aligned} \rho(f') &= |C(f', v) \cap D_i| \\ &= |C(f, v) \cap D_i| + |\{c_\alpha\}| \\ &= \rho(f) + 1. \end{aligned}$$

*Q.E.D.*

We then compute the value  $\omega(T_v, i)$  for an index  $i$ ,  $1 \leq i \leq d(v) + 1$ , from the  $d(v) \times (d(v) + 1)$  values  $\omega(T_{v_j}, k)$  for all indices  $j$ ,  $1 \leq j \leq d(v)$ , and all indices  $k$ ,  $1 \leq k \leq d(v) + 1$ . Let  $J$  be the set of indices  $j$  of all children  $v_j$  of  $v$ , that is,  $J = \{j \mid 1 \leq j \leq d(v)\}$ . Let  $e_j = (v, v_j)$  for each  $j \in J$ , as illustrated in Figure 2. Let  $1 \leq i \leq d(v) + 1$ , and let  $K_i$  be the set of indices  $k$  of all colors  $c_k$  in  $D_i$ , that is,

$$K_i = \{k \mid 1 \leq k \leq d(v) + 1, k \neq i\}.$$

Suppose that  $f$  is an edge-coloring of  $T_v$  such that  $\omega(f) = \omega(T_v, i)$ ,  $c_i \in \text{Miss}(f, v)$ , and  $C(f, v) = D_i$ . Lemma 5 implies that  $T_v$  has such an edge-coloring  $f$ . For each  $j \in J$ , let  $f_j$  be the restriction of  $f$  to the edges in  $T_{v_j}$ , that is,  $f_j$  is an edge-coloring of  $T_{v_j}$  such that  $f_j(e) = f(e)$  for every edge  $e$  in  $T_{v_j}$ . Then clearly

$$\omega(f) = \omega(T_v, i) = \sum_{j \in J} \omega(f_j) + \omega(D_i), \quad (7)$$

where

$$\omega(D_i) = \sum_{c \in D_i} \omega(c).$$

Note that the value  $\omega(D_i)$  depends on  $i$ , but does not depend on the edge-coloring  $f$ . For each  $j \in J$ , we denote by  $j'$  the index such that  $c_{j'} = f(e_j) \in D_i$ . Since  $f$  is an edge-coloring of  $T_v$ , all colors  $f(e_j)$  are different from each other. Therefore the mapping  $\varphi : J \rightarrow K_i$  such that  $\varphi(j) = j' \in K_i$  for each  $j \in J$  is a bijection. Since  $c_{j'} \in \text{Miss}(f_j, v_j)$ , the definition of  $\omega(T_{v_j}, j')$  implies that  $\omega(f_j) \geq \omega(T_{v_j}, j')$ . However, we have

$$\omega(f_j) = \omega(T_{v_j}, j') \quad (8)$$

as follows: if  $\omega(f_j) > \omega(T_{v_j}, j')$ , then  $T_{v_j}$  has an edge-coloring  $f'_j$  such that  $c_{j'} \in \text{Miss}(f'_j, v_j)$  and  $\omega(f'_j) = \omega(T_{v_j}, j') < \omega(f_j)$ , and hence the edge-coloring  $f'$  of  $T_v$  obtained from  $f$  by replacing  $f_j$  with  $f'_j$  satisfies  $c_i \in \text{Miss}(f', v)$  and  $C(f', v) = D_i$  but  $\omega(f') < \omega(f) = \omega(T_v, i)$ , a contradiction. By Eqs. (7) and (8) we have

$$\omega(f) = \omega(T_v, i) = \sum_{j \in J} \omega(T_{v_j}, j') + \omega(D_i). \quad (9)$$

Suppose conversely that  $\varphi : J \rightarrow K_i$  is any bijection. For each  $j \in J$ , we denote  $\varphi(j)$  by  $j'$ , that is,  $j' = \varphi(j)$ , and let  $g_j$  be any edge-coloring of  $T_{v_j}$  such that  $\omega(g_j) = \omega(T_{v_j}, j')$  and  $c_{j'} \in \text{Miss}(g_j, v_j)$ . Let  $g$  be an edge-coloring of  $T_v$  extended from all  $g_j$ ,  $j \in J$ , as follows:

$$g(e) = \begin{cases} c_{j'} & \text{if } e = (v, v_j) \text{ and } j \in J; \\ g_j(e) & \text{if } e \text{ is an edge of } T_{v_j} \text{ and } j \in J. \end{cases}$$

Then  $c_i \in \text{Miss}(g, v)$ ,  $C(g, v) = D_i$ , and

$$\begin{aligned} \omega(g) &= \sum_{j \in J} \omega(g_j) + \omega(D_i) \\ &= \sum_{j \in J} \omega(T_{v_j}, j') + \omega(D_i). \end{aligned} \quad (10)$$

Let

$$b(i) = \min_{\varphi} \sum_{j \in J} \omega(T_{v_j}, j'), \quad (11)$$

where the minimum is taken over all bijections  $\varphi : J \rightarrow K_i$ . Then one can know from Eqs. (9) and (10) that  $\omega(g) = \omega(T_v, i)$  if and only if  $\varphi$  attains the minimum value  $b(i)$ .

We thus have the following lemma.

**Lemma 6.** *For any internal vertex  $v$  of  $T$  and any index  $i$ ,  $1 \leq i \leq d(v) + 1$ ,*

$$\omega(T_v, i) = b(i) + \omega(D_i).$$

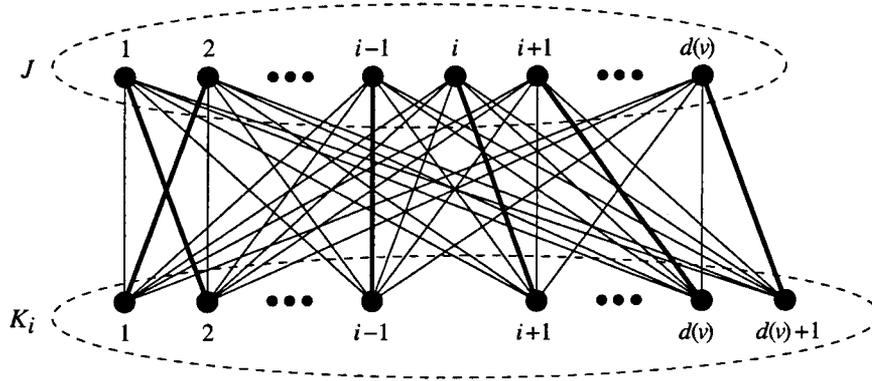


Fig. 4. Complete bipartite graph  $B(i) = (J, K_i; J \times K_i)$ .

One can easily compute the values  $\omega(D_i)$ ,  $1 \leq i \leq d(v) + 1$ , in time  $O(d(v))$ . We shall therefore show how to compute  $b(i)$ .

The problem of computing  $b(i)$  can be reduced to the minimum weight perfect matching problem for a complete bipartite graph, as follows. Let  $B(i) = (J, K_i; J \times K_i)$  be a complete bipartite graph with vertex sets  $J$  and  $K_i$ . (See Figure 4.) Note that  $|J| = |K_i| = d(v)$ . Let  $h : J \times K_i \rightarrow \mathbf{R}$  be a weight function such that  $h(e) = \omega(T_v, k)$  for each edge  $e = (j, k)$ ,  $j \in J$  and  $k \in K_i$ . The minimum weight perfect matching problem is to find a perfect matching  $M$  such that the sum of weights of the edges in  $M$  is as small as possible [13]. A perfect matching in  $B(i)$  is indicated by thick lines in Figure 4. Clearly,  $b(i)$  is equal to the weight of the minimum perfect matching in  $B(i)$ .

The minimum weight perfect matching problem can be solved in time  $O(d^3(v))$  for a single graph  $B(i)$  [13], and hence the value  $b(i)$  for an index  $i$ ,  $1 \leq i \leq d(v) + 1$ , can be computed in time  $O(d^3(v))$ . Therefore the  $d(v) + 1$  values  $b(i)$  for all indices  $i$ ,  $1 \leq i \leq d(v) + 1$ , can be computed total in time  $O(d^4(v))$ .

The third idea is to compute all the  $d(v)+1$  values  $b(i)$ ,  $1 \leq i \leq d(v)+1$ , together. Kao *et al.* recently showed that if a given complete bipartite graph  $B$  has  $d$  vertices then one can solve in time  $O(d^3)$  the minimum weight perfect matching problems for all  $d$  complete bipartite graphs obtained from  $B$  by deleting each of the  $d$  vertices [8, 9]. Let  $K = \{1, 2, \dots, d(v)+1\}$ , let  $B = (J, K; J \times K)$  be a complete bipartite graph, and let  $h(e) = \omega(T_{v_j}, k)$  for each edge  $e = (j, k)$ ,  $j \in J$  and  $k \in K$ . Applying their algorithm to  $B$ , we can compute all the values  $b(i)$ ,  $1 \leq i \leq d(v)+1$ , in time  $O(d^3(v))$ . We thus have the following lemma.

**Lemma 7.** *For any internal vertex  $v$  of  $T$ , one can construct the DP table for  $v$  from the DP tables for all the children of  $v$  in time  $O(d^3(v))$ .*

We can thus compute the minimum cost  $\omega(T) = \omega(T_r, d(r)+1)$  in the DP table for root  $r$  in time

$$\begin{aligned} O\left(\sum_{v \in V} d^3(v)\right) &= O\left(\left(\sum_{v \in V} d(v)\right) \Delta^2\right) \\ &= O(n\Delta^2). \end{aligned}$$

Clearly, the total size of all DP tables is  $\sum_{v \in V} (d(v)+1) = O(n)$ . We do not store the bijection  $\varphi$  found at each internal vertex except the root  $r$ .

### 3.2 Finding an optimal edge-coloring

We next show how to find an optimal edge-coloring  $f$  of  $T$ . Although we compute the DP tables from leaves to the root, we decide the colors of edges from the root  $r$  to leaves, as follows.

When we computed

$$\omega(T) = \omega(T_r, d(r)+1) = b(d(r)+1) + \omega(D_{d(r)+1}),$$

we found a bijection  $\varphi : J \rightarrow K_{d(r)+1}$  attaining the minimum value  $b(d(r)+1)$  in Eq. (11), where  $J = K_{d(r)+1} = \{j \mid 1 \leq j \leq d(r)\}$ . For each  $j$ ,  $1 \leq j \leq d(r)$ , we color the edge  $e_j$  joining  $r$  and its  $j$ th child  $r_j$  with  $c_{\varphi(j)} \in D_{d(r)+1} = \{c_1, c_2, \dots, c_{d(r)}\}$ . We hence have  $C(f, r) = \{c_1, c_2, \dots, c_{deg(r)}\}$  since  $d(r) = deg(r)$ .

We may thus assume that, for an internal vertex  $v$ , the edge joining  $v$  and its parent  $u$  has been colored with a color  $c_i \in C$  for some index  $i$ ,  $1 \leq i \leq \deg(u)$ . Let  $i' = i$  if  $i \leq d(v) + 1$ , and let  $i' = d(v) + 1$  if  $i \geq d(v) + 2$ . We find again the bijection  $\varphi : J \rightarrow K_{i'}$  attaining the minimum value  $b(i')$  in Eq. (11). For each  $j$ ,  $1 \leq j \leq d(v)$ , we color the edge  $e_j = (v, v_j)$  with  $c_{\varphi(j)} \in D_{i'}$ . We hence have  $C(f, v) = D_{i'} \cup \{c_i\} \subseteq \{c_1, c_2, \dots, c_{\deg(v)}\} \cup \{c_i\}$  since  $d(v) + 1 = \deg(v)$ .

Thus we can correctly find an optimal edge-coloring of  $T$ , and the coloring uses the first  $\Delta(T)$  cheapest colors  $c_1, c_2, \dots, c_{\Delta}$  in  $C$ . Clearly, the algorithm takes time  $O(n\Delta^2)$ . This completes a proof of Theorem 1.

Kao *et al.* also show that if a given complete bipartite graph  $B$  has  $d$  vertices and all edge weights are integers in the range  $[-N, N]$  then one can solve in time  $O(d^{2.5} \log(dN))$  the minimum weight perfect matching problems for all  $d$  complete bipartite graphs obtained from  $B$  by deleting each of the  $d$  vertices [8, 9]. Using their algorithm, one can find an optimal edge-coloring of tree  $T$  in time  $O(n\Delta^{1.5} \log(nN_w))$  for the case where all the color costs are integers in the range  $[-N_w, N_w]$ , because  $d \leq 2\Delta + 1 \leq 2n$  and  $N \leq nN_w$  in this case. Our algorithm thus solves the edge-chromatic sum problem for trees in time  $O(n\Delta^{1.5} \log n)$ , because  $N_w = \Delta$  for the problem.

## Acknowledgments

We thank Tak-Wah Lam and Takeshi Tokuyama for pointing out some references.

## References

1. S. Arnborg and J. Lagergren. Easy problems for tree-decomposable graphs. *Journal of Algorithms*, 12(2):308–340, 1991.
2. R.B. Borie, R.G. Parker, and C.A. Tovey. Automatic generation of linear-time algorithms from predicate calculus descriptions of problems on recursively constructed graph families. *Algorithmica*, 7:555–581, 1992.
3. E.G. Coffman, M.R. Garey, D.S. Johnson, and A.S. LaPaugh. Scheduling file transfers. *SIAM J. Comput.*, 14:744–780, 1985.

4. K. Giaro and M. Kubale. Edge-chromatic sum of trees and bounded cyclicity graphs. *Information Processing Letters*, 75:65–69, 2000.
5. H. Hajiabolhassan, M.L. Mehrabadi and R. Tusserkani. Minimal coloring and strength of graphs. *Discrete Mathematics*, 215:265–270, 2000.
6. K. Jansen. The optimum cost chromatic partition problem. In *Proc. CIAC'97, Lecture Notes in Computer Science, Springer-Verlag*, 1203:25–36, 1997.
7. H. Krawczyk and M. Kubale. An approximation algorithm for diagnostic test scheduling in multicomputer systems. *IEEE Trans. Comput.*, 34:869–872, 1985.
8. M.Y. Kao, T.W. Lam, W.K. Sung and H.F. Ting. All-cavity maximum matchings. In *Proc. ISAAC'97, Lecture Notes in Computer Science, Springer-Verlag*, 1350:364–373, 1997.
9. M.Y. Kao, T.W. Lam, W.K. Sung and H.F. Ting. Cavity matchings, label compressions, and evolutionary trees. *SIAM J. Comp.*, 30(2):602–624, 2000.
10. L.G. Kroon, A. Sen, H. Deng, and A. Roy. The optimal cost chromatic partition problem for trees and interval graphs. In *Proc. WG'96 International Workshop on Graph Theoretic Concepts in Computer Science, Lecture Notes in Computer Science, Springer*, 1197:279–292, 1997.
11. M. Kubale. *Introduction to Computational Complexity and Algorithmic Graph Coloring*. Gdańskie Towarzystwo Naukowe, Gdańsk, Poland, 1998.
12. E. Kubicka. *The Chromatic Sum of a Graph*. Ph.D. Thesis, Western Michigan University, 1989.
13. C.H. Padadimitriou and K. Steiglitz. *Combinatorial Optimization: Algorithms and Complexity*. Prentice Hall, Englewood Cliffs, NJ, 1982.
14. J. A. Telle and A. Proskurowski. Algorithms for vertex partitioning problems on partial  $k$ -trees. *SIAM J. Discrete Math.*, 10:529–550, 1997.
15. X. Zhou, S. Nakano, and T. Nishizeki. Edge-coloring partial  $k$ -trees. *Journal of Algorithms*, 21:598–617, 1996.
16. X. Zhou and T. Nishizeki. Algorithm for the cost edge-coloring of trees. In *Proc. of the 7th Annual International Conference on Computing and Combinatorics, Lect. Notes in Computer Science, Springer*, 2108:288–297, 2001.